

Docket No.: 4956P003

#7 BA12/13/02

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MARK S. KNIGHTON, ET AL.

Application No.: 09/660,811

Filed: September 13, 2000

For: DIGITAL IMAGING SYSTEM HAVING

DISTRIBUTION CONTROLLED OVER

A DISTRIBUTED NETWORK

Art Group: 2623

Examiner: Not Assigned

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Technology Center 2600

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: December 03, 2002

Thomas M. Coester, Reg. No. 39,637

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (310) 207-3800

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PTO/SB/21 (08-00)
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09/660,811

Application No.

TRANSMITTAL FORM Filing Date September 13, 2000 (to be used for all correspondence after initial filing) First Named Inventor Mark S. Knighton RECEIMED Group Art Unit 2623 **Examiner Name** Not Assigned Total Number of Pages in This Submission 7 Attorney Docket Number 4956P003 Conter 2600 **ENCLOSURES** (check all that apply) After Allowance Communication to Group Assignment Papers (for an Application) Fee Transmittal Form Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition **Proprietary Information** Affidavits/declaration(s) Petition to Convert a Provisional Application Status Letter Extension of Time Request Other Enclosure(s) Power of Attorney, Revocation Change of Correspondence Address (please identify below): **Express Abandonment Request** Terminal Disclaimer -Return Receipt Postcard (1) Information Disclosure Statement -Cited Reference (1 Ref./ 5 pages) Certified Copy of Priority Document(s) Request for Refund Response to Missing Parts/ Incomplete Application CD, Number of CD(s) Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Thomas M. Coester, Reg. No. 39,637 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Thomas Coeste Date December 3, 2002 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: December 3, 2002 Typed or printed name Susan M. Ocegueda Signature Date December 3, 2002

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Group/Art Unit

Attorney Docket No.

FEE TRANSMITERAL for FY 2003  Patent fees are subject to annual revision.		Complete if Known		
	Application Number	09/660,811 September 13, 2000		
	Filing Date			
	First Named Inventor	Mark S. Knighton		
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Not Assigned		

(\$)

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Thomas M. Coester

Name (Print/Type)

Signature

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)		
Check Credit card Money Other None	3. ADDITIO			RECEIVED
Deposit Account	Large Entity Fee Fee	Sma	Il Entity Fee	INCOLIVED
Deposit	Code (\$)	Code	(\$)	FeeDescripton DEC 1 1 2002 FeePaid
Account Number 02-2666	1051 130	2051	65	Surcharge - late filing fee or oath
Deposit	1062 50	2052	25	Surcharge - late provisional line chinology Center 2600
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2063 130	2053	130	Non-English specification
The Commissioner is authorized to: ( check all that apply)	1812 2,520	1812	2,520	For filing a request for ex parte reexamination
☐ Charge fee(s) indicated below ☐ Credit any overpayments	1804 920	1804	920 1	* Requesting publication of SIR prior to Examiner action
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.			4040 5	
Charge fee(s) indicated below, except for the filing fee	1806 1,840 *	1805	1,840	Requesting publication of SIR after Examiner action
to the above-identified deposit account	1251 110	2251	55	Extension for reply within first month
FEE CALCULATION	1252 400	2252	200	Extension for reply within second month
1. BASIC FILING FEE	1253 920	2253	460	Extension for reply within third month
Large Entity Small Entity	1254 1,440	2254	720	Extension for reply within fourth month
Fee Fee Fee Fee <u>Fee Description</u> Fee Paid  Code (\$)	1255 1,960	2255	980	Extension for reply within fifth month
1001 740 2001 370 Utility filing fee	1404 320	2401	160	Notice of Appeal
1002 330 2002 165 Design filing fee	1402 320	2402	160	Filing a brief in support of an appeal
1003 510 2003 255 Plant filing fee	1403 280	2403	140	Request for oral hearing
1004 740 2004 370 Reissue filing fee	1451 1,510	2451	1,510	Petition to institute a public use proceeding
1005 160 2005 80 Provisional filing fee	1452 110	2452	55	Petition to revive - unavoidable
SUBTOTAL (1) (\$)	1453 1,280	2453	640	Petition to revive - unintentional
	1501 1,280	2501	640	Utility issue fee (or reissue)
2. EXTRA CLAIM FEES Extra Fee from	1502 460	2502	230	Design issue fee
Claims below FeePaid  Total Claims =	1503 620	2503	310	Plant issue fee
Independent 20 = X	1460 130	2460	130	Petitions to the Commissioner
Claims 3 X == = Multiple Dependent	1807 50	1807	50	Processing fee under 37 CFR 1.17(q)
	1806 180	1806	180	Submission of Information Disclosure Stmt
Large Entity   Small Entity	8021 40	8021	40	Recording each patent assignment per property (times number of properties)
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	1809 740	1809	370	Filing a submission after final rejection
1202 18 2202 9 Claims in excess of 20	1005	1005	5.0	(37 ČFR § 1.129(a))
1201 84 2201 42 Independent claims in excess of 3	1810 740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))
1203 280 2203 140 Multiple Dependent claim, if not paid	1004 740		***	Request for Continued Examination (RCE)
1204 84 2204 42 **Reissue independent claims over original patent	1801 740 1802 900	1802	370 900	Request for expedited examination
1205 18 2205 9 **Reissue claims in excess of 20 and over			900	of a design application
original patent	Other fee (specify	,		
SUBTOTAL (2) (\$)	I			
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)				

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Registration No. (Attorney/Agent)

39,637